Report Documentation

Report ID : DPC9

Report Description : Online Statement of Transaction and Holding

Module : BO

Report Format : (Specify in same sequence as Unformatted layout)

FIELD DESCRIPTION	FIELD TYPE	FIELD LENGTH	REMARKS
Identifier	Varchar	(2)	"01"
DP Id.	Number	(5)	
BO Id.	Varchar	(16)	
From Date	Varchar	(10)	Format (DD-MM-YYYY)
To Date	Varchar	(10)	Format (DD-MM-YYYY)
Identifier	Varchar	(2)	"02"
ISIN No.	Varchar	(12)	
ISIN Full Name	*Varchar*	*(100)*	
Settlement Id	Varchar	(13)	
Liquidation Status / Winding Up	*Number*	*(2)*	*0 – Not Under Liquidation / Winding Up* *1 – Under Liquidation / Winding Up* *2 – Reference BIFR*
Security Type	*Varchar*	*(50)*	*EQ – Equity* *PREF – Preference Shares* *GSEC – Government Securities* *OTHR – Others* *MF – Mutual Funds*
Identifier	Varchar	(2)	"03"
From date	Varchar	(10)	Format (DD-MM-YYYY)
Transaction Particulars	Varchar	(15)	"Opening Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Opening balance	Number	(13.3)	Max (13.3) and min length (1.3)

Identifier	Varchar	(2)	"04"
Transaction Date	Varchar	(10)	
Transaction Particulars	Varchar	(60)	
Credit/Debit Type	Varchar	(1)	C/D
Transaction Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Calculated Current balance after transaction	Number	(13.3)	Max length (13.3) and min length (1.3)
Settlement Id	Varchar	(13)	
Counter Settlement Id	Varchar	(13)	
Transaction ID	***Number***	***(8)***	
Delivery Instruction Slip	***Varchar***	***(16)***	
Identifier	Varchar	(2)	"05"
To Date	Varchar	(10)	
Transaction Particulars	Varchar	(15)	"Closing Balance"
Transaction Qty1	Nil	(0)	
Transaction Qty2	Nil	(0)	
Closing balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Identifier	Varchar	(2)	"06"
Pending Since date / Lockin expiry date ***/ Destat Setup Date***	Varchar	(10)	Format (DD-MM-YYYY)
D - Demat R -Remat / Restat L –Lockin ***M - Destat***	Varchar	(1)	D / R/ L / ***M***
Description Demat Pending/ Demat Rejected/ Remat Pending/ Remat Rejected/ Restat Pending/ Restat Rejected/ Lockin ***/ Destat Pending***	Varchar	(24)	Max (24) Min (17)
Demat / remat / Restat rejected qty or demat / remat / Restat pending qty/ Lockin Quantity ***/ Pending Destat Quantity***	Number	(13.3)	Max length (13.3) and min length (1.3) ***For Pending Destat if all quantity flag is "A" then quantity will be printed as 0***
Identifier	Varchar	(2)	"07"

ISIN No.	Varchar	(12)	
ISIN Full Name	*Varchar*	*(100)*	
Current Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
SAFE KEEP Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Pledged Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Free Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Locked in Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Earmarked Balance Qty.	Number	(13.3)	Max length (13.3) and min length (1.3)
Lend Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
AVL Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Borrow Balance	Number	(13.3)	Max length (13.3) and min length (1.3)
Isin level freeze flag + BoIsin level freeze flag + freeze suspend flag	Varchar	(3)	Max length 3 (1*3) and min length 0
Settlement ID	Varchar	(13)	
Liquidation Status / Winding Up	*Number*	*(2)*	*0 – Not Under Liquidation / Winding Up* *1 – Under Liquidation / Winding Up* *2 – Reference BIFR*
Security Type	*Varchar*	*(4)*	*EQ – Equity* *PREF – Preference Shares* *GSEC – Government Securities* *OTHR – Others* *MF – Mutual Funds*
BO ISIN Holding Valuation	*Number*	*(16,3)*	
Identifier	Number	(2)	"08"
Count	Number	(1)	"0"
Business date	Date	(8)	DDMMYYYY
System Date and Time	Varchar	(24)	

NOTE:
1. For every line "01", there will be a corresponding entry of BO names and addresses in DPD9 report.

2. If the BO does not have any transactions during the time period and his current balance is also nil, then only line "01" is printed.

3. If the BO does not have any transactions during the period, but his current balance is not nil, then line "01", line "02", line "03", line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINS of the BO.

4. If the BO has transactions during the period, then Line "01", Line "02", Line "03", Line "04" (for each transaction, there will be one Line "04"), Line "05" are printed. Line "07" for each ISIN is printed after all the above lines are printed for all the ISINs of the BO.

5. Memo balances if present are printed in line "06" after Line "05" (if current balance is not nil) or after Line "02" (if current balance is nil). Line "06" is a repeatable line.

6. Report Criteria for DP:
   - Single BO
   - ISIN and Customer Type
   - ALL Bo's
   - Customer Type
   - BO Category and Customer Type
   - BO – ISIN
   - *Settlement ID (For CM BO)*

7. Naming convention of DPC9 change in case of online report if report is setup for single BO.
   Updated for single BO criteria: - 08DPC9U.<BOID><DDMMYYYYHHMISS>
   Other than single BOID criteria: 08DPC9U.<even no>.

8. *The ISIN Full Name is to be printed in DPC9 Report in Line "02" for ISIN and Line "07" for Holding.*

9. *ISIN Liquidation / Winding-Up Status will be printed in Line "02" and Line "07" in DPC9 Report.*

10. *Pending Destat Records will be printed in Line "06" for Memo Balance.*

11. *Security Type has been added in Lines 2 and 7 to display security type wise valuation and holding report.*